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Nilpotent singularities of holomorphic foliations New results on two problems

Workshop on Functional and Complex Analysis June 23rd, 2022

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1. Holomorphic foliations. Base notions

- 1.1 Basic definitions
- 1.2 Singularities and separatrices

2. Nilpotent singularities

- 2.1 Generalities
- 2.2 Analytic Classification. Generalized Poincaré-Dulac singularities

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2.3 Foliations on the projective plane. From global to local

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- We will be interested in the sequel only in the 2-dimensional case, so we don't care about the integrability condition.
- ▶ In dimension two, alternatively a foliation may be defined by a vector field, dual to the 1-form. If $\omega = a(x, y)dx + b(x, y)dy$, a vector field defining the foliation is $b(x, y)\frac{\partial}{\partial x} a(x, y)\frac{\partial}{\partial y}$.

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- ▶ We are interested in the analytic classification of these germs. Two foliations, defined by 1-forms ω_1 , ω_2 , are analytically equivalent if there exists a biholomorphism $\Phi : (\mathbb{C}^2, \mathbf{0}) \to (\mathbb{C}^2, \mathbf{0})$ such that $\Phi^* \omega_1 \wedge \omega_2 = 0$.

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- If an invertible formal transformation $\hat{\Phi} = (\Phi_1, \Phi_2) \in \mathbb{C}[[x, y]]^2$ exists such that $\Phi^* \omega_1 \wedge \omega_2 = 0$, the foliations are said to be formally equivalent.





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Formal vs. Analytic

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Example

Consider Euler's foliation

$$\omega = x^2 dy + (y - x) dx.$$

The formal change of variables $\Phi^*(x, y) = (x, y + \sum_{n=0}^{\infty} (-1)^n n! x^{n+1})$ verifies that $\Phi^* \omega = x^2 dy + y dx$. These 1-forms are not analytically equivalent.





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- Analytically, f is a separatrix of ω if and only if there exists a 2-form η such that $\omega \wedge df = f\eta$. Equivalently if there are germs of functions g, k and a 1-form η such that

$$g\omega = kdf + f\eta,$$

and moreover $k \not\equiv 0$ on \mathcal{C} .



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- Previous expressions allow to extend this notion to the formal case.
- A two-dimensional foliation always has at least one analytic separatrix (Camacho-Sad Theorem). It may have finitely or infinitely many of them.



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- ▶ The only separatrix of $\omega = d(y^2 + x^3) + A(x, y)(2xdy 3ydx)$, with A(x, y) a non-unit, is $y^2 + x^3$. Indeed,

$$\omega \wedge d(y^2 + x^3) = -6A(x, y)(y^2 + x^3)dx \wedge dy$$

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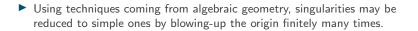
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The situation where infinitely many separatrices appear, in dimension 2, is called dicritical.





Reduction of Singularities

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- Consider the foliation defined by a vector field $\mathcal{X} = a(x,y)\frac{\partial}{\partial x} + b(x,y)\frac{\partial}{\partial y}$, and let M be the matrix of its linear part, i.e.

$$M = \begin{pmatrix} \frac{\partial a}{\partial x}(0,0) & \frac{\partial a}{\partial y}(0,0) \\ \frac{\partial b}{\partial x}(0,0) & \frac{\partial b}{\partial y}(0,0) \end{pmatrix}$$

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When λ₁ = 0, the singularity is called a saddle-node. In the other case, it will be called hyperbolic.

An example

As an example, let us show how the reduction of singularities works in a simple case: $\omega=d(y^2-x^3).$

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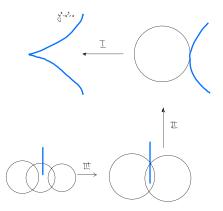


Figure: Reduction of the singularities of a cusp

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- ► There are different cases to study, according with the trichotomy 2p > n (generalized cusp), 2p = n, or 2p < n (Generalized saddle-node).</p>
- ▶ In this talk we will introduce two problems. The first one concerns the analytic classification in the case 2p = n. The second one concerns a family of foliations of generalized saddle-node type.

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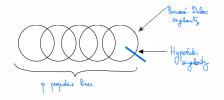


Figure: Reduction of the singularities of a generalized Poincaré Dulac singularity. First steps

Analytic Classification Theorem

Theorem (P. Fernández, -)

Let \mathcal{F}_1 , \mathcal{F}_2 be two germs of generalized Poincaré-Dulac holomorphic foliations, formally equivalent. Assume that H_i is the holonomy group of the *p*th component of the exceptional divisor obtained when doing the reduction of singularities for \mathcal{F}_i (i = 1, 2). If H_1 , H_2 are analytically conjugated, the foliations are also analytically conjugated.





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- ► A foliation \mathcal{F} is called rigid if every germ of foliation, formally equivalent to \mathcal{F} is, indeed, analytically equivalent.
- Rigidity is a phenomenon which appears sometimes in presence of a rich holonomy (monodromy, group of invariants of the leaves) group.

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- This group has two generators, h₁, h₂. They are the local generators of the holonomy of a Poincaré-Dulac singularity and of a hyperbolic singularity, respectively.
- Due to the normal form of the Poincaré-Dulac singularity, it can be proved that there exists an analytic vector field Y such that

$$h_1 = \mu \exp(\mathcal{Y}), \qquad h_2 = \frac{\lambda}{\mu} \exp(-\mathcal{Y}),$$

where $\mu^m = 1$, $\lambda^p = 1$.

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- ► As a consequence, the projective holonomy group turns out to be:
 - Abelian, if *p* divides *m*.
 - Non solvable, if p does not divide m.



 \blacktriangleright A global foliation in the projective plane $\mathbb{P}^2_{\mathbb{C}}$ is defined by a 1-form

$$A(x, y, z)dx + B(x, y, z)dy + C(x, y, z)dz,$$

where $A,\ B$ and C are homogeneous polynomials of degree d, satisfying the Euler relation xA+yB+zC=0. Alternatively, vector fields can be used to define them.

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- ▶ It is well known that the sum of the Milnor numbers at every singularity must always be $d^2 + d + 1$. For different reasons, families of foliations with only one singular point, of maximal Milnor number, are of special interest.
- Such a family of foliations, assuming non-zero linear part, is given by the following vector field [A]:

$$\mathcal{X} = \alpha y^{d} \frac{\partial}{\partial x} + \left(\beta x^{\frac{d-1}{2}} y z^{\frac{d-1}{2}} - \beta^{2} z^{d}\right) \frac{\partial}{\partial y} + \left(x^{d-1} y - \beta x^{\frac{d-1}{2}} z^{\frac{d+1}{2}}\right) \frac{\partial}{\partial z},$$
(1)
for odd d .

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- Around the only singular point, in local coordinates, this foliation may be written as

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- Typically, it is very hard to know, in precise examples, if the formal separatrices are, in fact, divergent or not, even while divergence is generic.
- To study the local behaviour of the separatrices, several authors have introduced different invariants associated to them: indices.



• If C is a separatrix of the foliation defined by the 1-form ω , and we write $g\omega = kdf + f\eta$, it can be defined the Camacho-Sad index of C at P as

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▶ In the particular (and important) case where C is smooth, y = 0 in appropriate coordinates, and $\omega = yp(y, z)dz + q(y, z)dy$, previous formula reduces to

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The important fact to retain is that this index is easy to compute for reduced singularities and behaves well under reduction of singularities. Jorge Mozo Fernández — Nilpotent singularities

Gómez-Mont-Seade-Verjovsky indices

Another invariant associated to a singularity is GSV index. In the smooth case, and with previous notations is

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UVa

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It is an integer, which can also be computed (in the two-dimensional case) as

 $\dim_{\mathbb{C}} \left(\mathcal{O}/(f, A, B) \right),$

whenever $\omega = A(y, z)dy + B(y, z)dz$ [G].

The following relation holds between indices [FM]:

$$(d+2)^2 = CS_P(\mathcal{X}, \mathcal{C}) + 2GSV_P(\mathcal{X}, \mathcal{C}),$$
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taking into account that in this family of examples, only one singularity $\left(P\right)$ appears.

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This is a quite unexpected result, as generally, weak separatrices diverge. In this case the global structure of the foliation under study forces it to converge.





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¡MUCHAS GRACIAS!