

# Mean ergodic composition operators on spaces of holomorphic functions

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- A map  $p : X \rightarrow Y$  is in  $\mathcal{P}(^m X, Y)$  if there exists a continuous  $m$ -linear form  $L : X \times \dots \times X \rightarrow Y$  such that  $L(x, \dots, x) = p(x)$  for all  $x \in X$ .

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- For any  $p \in \mathcal{P}(^mX, Y)$ ,  $\lambda \in \mathbb{C}$  and  $x \in X$  we have:

$$p(\lambda x) = \lambda^m p(x)$$

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- We denote by  $H_b(B)$  the space of functions on  $H(B)$  which are of bounded type. Each  $0 < r < 1$  defines a seminorm

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- We denote by  $H^\infty(B)$  the space of functions on  $H(B)$  which are of bounded in  $B$ . The norm is given by

$$\|f\| := \sup_{x \in B} |f(x)|.$$

- Let  $\varphi : B \rightarrow B$  be a holomorphic mapping. We denote by  $C_\varphi : H(B) \rightarrow H(B)$  the **composition operator**. It is defined by

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- $\varphi$  is the **symbol** of the composition operator.
- We have  $C_\varphi : H_b(B) \rightarrow H_b(B)$  is well defined if and only if for each  $0 < r < 1$  there is  $0 < s < 1$  such that

$$\varphi(rB) \subseteq sB.$$

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## Aim

Characterise some dynamical properties of  $C_\varphi$  defined in  $H(B)$ ,  $H_b(B)$  and  $H^\infty(B)$  in terms of the symbol  $\varphi$ .

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Dynamical properties:

- Power boundedness
- Topologizability
- Mean ergodicity
- Uniform mean ergodicity

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- $T^0 = Id,$
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- The  $n$ -th Cesàro mean

$$T_{[n]} := \frac{1}{n} \sum_{m=0}^{n-1} T^m.$$

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- **Power Bounded:**  $(T^n)_n$  is equicontinuous in  $\mathcal{L}(E)$ .
- **Topologizable:** there exist  $a_n > 0$  such that  $(a_n \cdot T^n)_n$  is equicontinuous in  $\mathcal{L}(E)$ .

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- **Mean Ergodic (ME):**  $(T_{[n]})_n$  converges in the topology of pointwise convergence of  $\mathcal{L}(E)$  (strong operator topology when  $E$  is Banach).
- **Uniformly Mean Ergodic (UME):**  $(T_{[n]})_n$  converges in the topology of bounded convergence of  $\mathcal{L}(E)$  (operator norm topology when  $E$  is Banach).

# Introduction

## Proposition (Bonet, Domański)

Let  $U$  be a connected domain of holomorphy in  $\mathbb{C}^d$  and let  $\varphi : U \rightarrow U$  a holomorphic mapping. T.F.A.E.:

- a  $C_\varphi : H(U) \rightarrow H(U)$  is power bounded.
- b  $C_\varphi : H(U) \rightarrow H(U)$  is uniformly mean ergodic.
- c  $C_\varphi : H(U) \rightarrow H(U)$  is mean ergodic.
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When  $X$  is infinite dimensional:

- $H(B)$  is a locally convex semi-Montel space (not barrelled).
- $H_b(B)$  is a Fréchet space (not Montel).
- $H^\infty(B)$  is a Banach space (not Montel).

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## Remark

By Schwarz Lemma, if  $\varphi(0) = 0$  we have for all  $n \in \mathbb{N}$  and  $x \in B$

$$\|\varphi^n(x)\| \leq \|x\|.$$

And  $\varphi$  has  $B$ -stable orbits.

# Examples

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  - Has  $B$ -stable orbits
  - Has not stable orbits

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- $F(x_1, x_2, x_3, \dots) = F(0, x_1, x_2, \dots)$ 
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  - Has not stable orbits
- $S(x_1, x_2, x_3, \dots) = S(x_2, x_3, x_4, \dots)$ 
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  - Has  $B$ -stable orbits
  - Has stable orbits
- $\phi(x_1, x_2, x_3, \dots) = (\frac{x_1}{2} + \frac{1}{2}, 0, 0, \dots)$ 
  - Has not  $B$ -stable orbits
  - Has not stable orbits

# The Hilbert space case

Let  $H$  be a Hilbert space and denote  $B_H$  its open unit ball. For each  $a \in B_H$  we can find a map  $\alpha_a : B_H \rightarrow B_H$  such that...



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- It is an automorphism
- It is holomorphic
- For each  $0 < r < 1$  there is  $0 < s < 1$  such that  $\alpha_a(rB) \subseteq sB$
- $\alpha_a(a) = 0$  and  $\alpha_a(0) = a$
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## Remark

Consider  $\varphi : B_H \rightarrow B_H$  a holomorphic map such that  $\varphi(a) = a$  for some  $a \in B_H$ . Then

$$(\alpha_a \circ \varphi \circ \alpha_a)(0) = 0.$$

And  $\alpha_a \circ \varphi \circ \alpha_a$  has  $B_H$ -stable orbits. Consequently,  $\varphi$  has  $B_H$ -stable orbits.

# $H(B)$ : Power bounded

## Theorem

Let  $\varphi : B \rightarrow B$  be holomorphic. Then the following are equivalent:

- $\varphi$  has stable orbits.
- $C_\varphi : H(B) \rightarrow H(B)$  is power bounded.
- $(\frac{1}{n} C_\varphi^n)_n$  is equicontinuous in  $\mathcal{L}(H(B))$ .
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(Mujica) Let  $K \subset B$  be a compact subset. Then this set is compact

$$\widehat{K}_{H(B)} = \{x \in B : |f(x)| \leq \sup_{y \in K} |f(y)| \text{ for every } f \in H(B)\}$$

# $H(B)$ : Power bounded $\Rightarrow$ UME

## Proposition (Bonnet, de Pagter, Ricker)

*Let  $E$  be a semi-Montel lchFs. Then every power bounded operator on  $E$  is uniformly mean ergodic.*

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## Corollary

Let  $\varphi : B \rightarrow B$  be holomorphic mapping. If  $C_\varphi : H(B) \rightarrow H(B)$  is power bounded, then it is uniformly mean ergodic.

# $H_b(B)$ : Power bounded

## Theorem

Let  $\varphi : B \rightarrow B$  be holomorphic of bounded type. Then the following are equivalent:

- $\varphi$  has  $B$ -stable orbits.
- $C_\varphi : H_b(B) \rightarrow H_b(B)$  is power bounded.
- $(\frac{1}{n} C_\varphi^n)_n$  is equicontinuous in  $\mathcal{L}(H_b(B))$ .
- $C_\varphi : H_b(B) \rightarrow H_b(B)$  is topologizable.



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Fix  $0 < r < 1$ . For every subset  $A \subseteq rB$  there is  $0 < s < 1$  such that

$$\widehat{A}_{H_b(B)} = \{x \in B : |f(x)| \leq \sup_{y \in A} |f(y)| \text{ for every } f \in H_b(B)\}$$

is contained in  $sB$ .

# $H_b(B)$ : ME $\Rightarrow$ Power bounded

## Remark

If  $C_\varphi : H_b(B) \rightarrow H_b(B)$  is mean ergodic, the sequence  $(\frac{1}{n}C_\varphi^n)_n$  converges to 0 pointwise. Then it is equicontinuous in  $\mathcal{L}(H_b(B))$ .

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## Proposition

*If  $C_\varphi : H_b(B) \rightarrow H_b(B)$  is mean ergodic, then  $C_\varphi$  is power bounded.*

# $H_b(B)$ : Power bounded $\not\Rightarrow$ ME

The following operators are power bounded but not mean ergodic

- $C_S : H_b(B_{c_0}) \rightarrow H_b(B_{c_0})$
- $C_F : H_b(B_{\ell_1}) \rightarrow H_b(B_{\ell_1})$

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Idea: The space  $H_b(B_X)$  contains a complemented copy of  $X'$ .

We have  $C_S|_{\ell_1} = F : \ell_1 \rightarrow \ell_1$  and  $C_F|_{\ell_\infty} = S : \ell_\infty \rightarrow \ell_\infty$  are not mean ergodic.

# $H_b(B)$ : Sometimes... Power bounded $\Leftrightarrow$ ME

We say that function  $p : X \rightarrow \mathbb{C}$  is in  $\mathcal{P}(X)$  (is a polynomial) if for some  $M \in \mathbb{N}_0$  we have

$$p = \sum_{m=0}^M p_m,$$

where  $p_m \in \mathcal{P}(^m X)$  for  $m > 0$  and  $p_0 : X \rightarrow \mathbb{C}$  is a constant function.

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### Proposition

*Assume that  $\varphi(rB_X)$  is relatively  $\sigma(X, \mathcal{P}(X))$ -compact for every  $0 < r < 1$ . Then  $C_\varphi : H_b(B_X) \rightarrow H_b(B_X)$  is mean ergodic if and only if it is power bounded (eq.  $\varphi$  has  $B_X$ -stable orbits).*

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The Tsirelson space  $T^*$  satisfies the assumption.



$H_b(B)$ : ME  $\not\Rightarrow$  UME

## Lemma (Köthe II)

*Let  $(T_n)_n$  be a sequence of equicontinuous operators on a lchS. If it converges pointwise to an operator  $T$  on some dense set, then  $(T_n)_n$  is pointwise convergent to  $T$  in the whole space.*

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## Theorem (A. Defant, D. García, M. Maestre, P. Sevilla-Peris)

*The set of monomials generates a dense subspace of  $H_b(B_{c_0})$ .*

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## Example

The operator  $C_F : H_b(B_{c_0}) \rightarrow H_b(B_{c_0})$  is mean ergodic but not uniformly mean ergodic.

# $H_b(B)$ : Sufficient conditions for UME

## Proposition

Let  $\varphi : B \rightarrow B$  be holomorphic so that for every  $0 < t < 1$  there is  $0 < \rho < t$  such that

$$\varphi(tB) \subseteq \rho B.$$

Then  $C_\varphi^n \rightarrow C_0$  uniformly on the bounded sets of  $H_b(B)$  and  $C_\varphi$  is uniformly mean ergodic.

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The following polynomial in  $\mathcal{P}(^2\ell_2, \ell_2)$  satisfies the assumption

$$P(x_1, x_2, x_3, \dots) = (x_1^2, x_2^2, x_3^2, \dots).$$

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## Remark

In particular, if  $\varphi(0) = 0$  and there is  $0 < r < 1$  such that  $\varphi(B) \subseteq rB$  we obtain that  $C_\varphi$  is uniformly mean ergodic.

# $H_b(B)$ : The Hilbert space case

## Proposition

Let  $\varphi : B_H \rightarrow B_H$  be holomorphic such that

$$\varphi(B_H) \subseteq rB_H \text{ for some } 0 < r < 1.$$

Then for the unique  $a \in B_H$  such that  $\varphi(a) = a$  we have  $C_\varphi^n \rightarrow C_a$  uniformly on the bounded sets of  $H_b(B_H)$  and  $C_\varphi$  is uniformly mean ergodic.

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The existence and uniqueness of the point  $a$  is given by the Earle-Hamilton fixed point theorem.



# $H^\infty(B)$ : Power bounded and Topologizable

Let  $\varphi : B \rightarrow B$  be a holomorphic map. We have

$$\|C_\varphi^n(f)\| = \sup_{x \in B} |f(\varphi^n(x))| \leq \sup_{x \in B} |f(x)| = \|f\|$$

for every  $n \in \mathbb{N}$  and  $f \in H^\infty(B)$ .

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for every  $n \in \mathbb{N}$  and  $f \in H^\infty(B)$ .

## Proposition

*Every composition operator defined in  $H^\infty(B)$  is power bounded and topologizable.*

# $H^\infty(B)$ : Sufficient conditions for UME

## Proposition

*Let  $\varphi : B \rightarrow B$  be holomorphic such that  $\varphi(B) \subseteq rB$  for some  $0 < r < 1$  and  $\varphi(0) = 0$ . Then  $C_\varphi^n \rightarrow C_0$  uniformly on the bounded sets of  $H^\infty(B)$  and  $C_\varphi$  is uniformly mean ergodic.*

# $H^\infty(B)$ : The Hilbert space case

## Proposition

Let  $\varphi : B_H \rightarrow B_H$  be holomorphic such that

$$\varphi(B_H) \subseteq rB_H \text{ for some } 0 < r < 1.$$

Then for the unique  $a \in B_H$  such that  $\varphi(a) = a$  we have  $C_\varphi^n \rightarrow C_a$  uniformly on the bounded sets of  $H^\infty(B_H)$  and  $C_\varphi$  is uniformly mean ergodic.

[1] J. Bonet and P. Domański.

A note on mean ergodic composition operators on spaces of holomorphic functions.

*Rev. R. Acad. Cienc. Exactas Fís. Nat. Ser. A Mat. RACSAM*, 105(2):389–396, 2011.

[2] David Jornet, Daniel Santacreu, and Pablo Sevilla-Peris.

Mean ergodic composition operators on spaces of holomorphic functions on a Banach space.

*J. Math. Anal. Appl.*, 500(2):Paper No. 125139, 16, 2021.