Continuously differentiable functions on compact sets

L. Frerick, L. Loosveldt, J. Wengenroth

Valladolid, June 21, 2022



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$$\lim_{K\ni y\to x} \frac{|f(x)-f(y)-df(x)(x-y)|}{|x-y|} = 0 \text{ for all } x\in K.$$

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Theorem.

 $C^{1}(K)$ is complete if and only if K has finitely many connected components C which are pointwise Whitney regular, i.e., there are neighbourhoods U_{x} of $x \in K$ and $c_{x} > 0$ such that every $y \in C \cap U_{x}$ can be joined to x by a curve of length $\leq c_{x}|x - y|$.

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Sufficiency from mean value inequality. Necessity: Banach-Steinhaus implies $\varphi_y(f) = \frac{f(x) - f(y)}{|x-y|}$

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Sufficiency from mean value inequality. Necessity: Banach-Steinhaus implies $|\varphi_{Y}(f)| = \left|\frac{f(x)-f(y)}{|x-y|}\right| \leq c_{x}||f||_{C^{1}(K)}$ for all $f \in C^{1}(K)$ and $y \in K \setminus \{x\}$. Construction of suitable curves by Schwartz using Arzelá-Ascoli.

• If K is the closure of its interior \mathring{K}

 $C^1_{int}(K) = \{ f \in C(K) : f|_{\mathring{K}} \in C^1(\mathring{K}), df : \mathring{K} \to L(\mathbb{R}^d, \mathbb{R}^m) \text{ extends continuously to } K \}$

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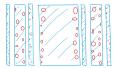
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- Example (M. Sauter). $C^1(K) \neq C^1_{int}(K)$ for $K = \overline{\Omega}$ with

$$\Omega = \left(\left([0,1] \setminus \mathsf{Cantor} \right) \times (0,1) \right) \setminus \bigcup_{n \in \mathbb{N}} B_n$$

where B_n are disjoint balls whose centres accumulate at Cantor \times [0, 1] and the sum of diam $(B_n) < 1/4$.



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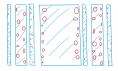
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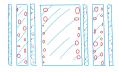
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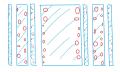
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Catastrophe: Compositions of C_{int}^1 -functions need not be C_{int}^1

Theorem

 $C^1(\mathbb{R}^d|K)$ is dense in $C^1(K)$ for every compact set $K \subseteq \mathbb{R}^d$.

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- Strategy: C¹(K) quotient of J¹(K) = {(f, df) ∈ C(K) : df derivative of f}, show density of i : D(ℝ^d) → J¹(K), φ ↦ (φ|_K, dφ|_K) by Hahn-Banach, i.e., every continuous linear functional Φ on J¹(K) which vanishes on {i(φ) : φ ∈ D(ℝ^d)} is zero.

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- ▶ Hahn-Banach and Riesz-Markov-Kakutani yield measures $\nu : B(K) \to \mathbb{R}$ and $\mu : B(K) \to \mathbb{R}^d$ such that

$$\Phi(f,df) = \int_{\mathcal{K}} f d
u + \int_{\mathcal{K}} \langle df,\mu
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Stanislav K. Smirnov called such a vector measure µ a solenoidal vector charge and proved in 1993 a Choquet type decomposion into very simple solenoids comming from Lipschitz curves in K.

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- Strategy: C¹(K) quotient of J¹(K) = {(f, df) ∈ C(K) : df derivative of f}, show density of i : D(ℝ^d) → J¹(K), φ → (φ|_K, dφ|_K) by Hahn-Banach, i.e., every continuous linear functional Φ on J¹(K) which vanishes on {i(φ) : φ ∈ D(ℝ^d)} is zero.
- ▶ Hahn-Banach and Riesz-Markov-Kakutani yield measures $\nu : B(K) \to \mathbb{R}$ and $\mu : B(K) \to \mathbb{R}^d$ such that

$$\Phi(f,df) = \int_{\mathcal{K}} f d
u + \int_{\mathcal{K}} \langle df, \mu
angle ext{ and }
u = \operatorname{div}(\mu)$$

in the sense of distributions.

- Stanislav K. Smirnov called such a vector measure µ a solenoidal vector charge and proved in 1993 a Choquet type decomposion into very simple solenoids comming from Lipschitz curves in K.
- This decomposition can be used to show that Φ vanishes on $J^1(K)$.

Theorem.

 $C(\mathbb{R}^d|K) = C^1(K)$ with equivalent norms if and only if K has only finitely many components C which are all Whitney regular, i.e., all $x, y \in C$ can be joined by a curve of length $\leq c|x - y|$.

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Theorem (Whitney).

If K is the closure of its interior which is Whitney regular, then $C^1(\mathbb{R}^d|K) = C^1_{int}(K)$.

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Example. There are path-connected compact sets $K = \overline{\text{Int}(K)}$ with $C^1(\mathbb{R}^2|K) = C_{int}^1(K)$ and Int(K) is not Whitney regular.

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Corollary of characterization of completeness

If $K = \overline{\text{Int}(K)}$ and $C^1(K) = C^1_{int}(K)$ then K has only finitely many connected components which are pointwise Whitney regular.

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- ▶ $K = \{0\} \cup \{2^{-n} : n \in \mathbb{N}\}$ and L = [0, 1] both satisfy $C^1(M) = C^1(\mathbb{R}^d | M)$ but $K \times L$ does not.